

Annexure–B

Format for Investor Complaints Data to be displayed by Stock Broker on their respective websites

Data for every month ending

S N	Receive d from	Carried forward from pr evious month	Receive d during the mon th	Total P ending	Resolve d*	Pending at the en d of the month**		Average Resoluti on time^(in days)
						Pendin g for less tha n 3 mon ths	Pendin g for more tha n 3 mon ths	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		--
2	SEBI (SCORE S)	0	0	0	0	0		--
3	Stock Exchang es	0	0	0	0	0		--
4	Other Sources (if any)	0	0	0	0	0		--
5	Grand Total	0	0	0	0	0		--

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6

1	April-2021	0	0	0	---
2	May-2021	0	0	0	---
3	June-2021	0	0	0	---
4	July-2021	0	0	0	---
5	Aug-2021	0	0	0	---
6	Sept-2021	0	0	0	---
7	Oct-2021	0	0	0	---
8	Nov-2021	0	0	0	---
9	Dec-2021	0	0	0	---
10	Jan-2022	0	0	0	---
11	Feb-2022	0	0	0	---
12	March-YYYY				
	GrandTotal				

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22 (up to Feb 2022)	0	0	0	0
	GrandTotal	0	0	0	0